

A diagram associated with the subconstituent algebra
of a distance-regular graph

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Introduction

In this work we consider a distance-regular graph Γ .

Fix a vertex x of Γ and consider the corresponding subconstituent algebra (or Terwilliger algebra) T .

The algebra T is the \mathbb{C} -algebra generated by the Bose-Mesner algebra M of Γ and the dual Bose-Mesner algebra M^* of Γ with respect to x .

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The algebra T is finite-dimensional and semisimple.

So it is natural to compute the irreducible T -modules.

These modules are important in the study of

- hypercubes,
- dual polar graphs,
- spin models,
- codes,
- the bipartite property,
- the almost-bipartite property,
- the Q -polynomial property,
- the thin property, etc.

Distance-regular graph

Let $\Gamma = (X, \mathcal{E})$ denote a finite, undirected, connected graph, without loops or multiple edges, with vertex set X and edge set \mathcal{E} .

Define the diameter $D := \max\{\partial(x, y) \mid x, y \in X\}$.

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Γ is *distance-regular* whenever for all integers h, i, j ($0 \leq h, i, j \leq D$) and $x, y \in X$ with $\partial(x, y) = h$, the number

$$p_{ij}^h := |\Gamma_i(x) \cap \Gamma_j(y)|$$

is independent of x and y .

We abbreviate $k_i := p_{ii}^0$ ($0 \leq i \leq D$).

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For $0 \leq i \leq D$ let A_i denote the matrix in $\text{Mat}_X(\mathbb{C})$ with (x, y) -entry

$$(A_i)_{xy} = \begin{cases} 1 & \text{if } \partial(x, y) = i, \\ 0 & \text{if } \partial(x, y) \neq i, \end{cases} \quad x, y \in X.$$

We call A_i the i -th distance matrix of Γ .

We call $A = A_1$ the adjacency matrix of Γ .

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We call A_i the *i-th distance matrix* of Γ .

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Let M denote the subalgebra of $\text{Mat}_X(\mathbb{C})$ generated by A .

The matrices A_0, A_1, \dots, A_D form a basis for M . (See [Brouwer, Cohen, Neumaier 1989].)

We call M the **Bose-Mesner algebra** of Γ .

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M has a basis E_0, E_1, \dots, E_D such that (i) $E_0 = |X|^{-1}J$; (ii) $\sum_{i=0}^D E_i = I$; (iii) $E_i^t = E_i$ ($0 \leq i \leq D$); (iv) $\overline{E_i} = E_i$ ($0 \leq i \leq D$); (v) $E_i E_j = \delta_{ij} E_i$ ($0 \leq i, j \leq D$). (See [Bannai, Ito 1984].)

The matrices E_0, E_1, \dots, E_D are called the primitive idempotents of Γ .

We recall the dual Bose-Mesner algebra of Γ .

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Fix a vertex $x \in X$.

For $0 \leq i \leq D$ let $E_i^* = E_i^*(x)$ denote the diagonal matrix in $\text{Mat}_X(\mathbb{C})$ with (y, y) -entry

$$(E_i^*)_{yy} = \begin{cases} 1 & \text{if } \partial(x, y) = i, \\ 0 & \text{if } \partial(x, y) \neq i, \end{cases} \quad y \in X.$$

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Let $M^* = M^*(x)$ denote the subalgebra of $\text{Mat}_X(\mathbb{C})$ with basis $E_0^*, E_1^*, \dots, E_D^*$.

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For $0 \leq i \leq D$ let $A_i^* = A_i^*(x)$ denote the diagonal matrix in $\text{Mat}_X(\mathbb{C})$ with (y, y) -entry

$$(A_i^*)_{yy} = |X|(E_i)_{xy} \quad y \in X.$$

We call A_i^* the dual distance matrix of Γ with respect to x and E_i .

The matrices $A_0^*, A_1^*, \dots, A_D^*$ form a basis for M^* . (See [Terwilliger 1992].)

The subconstituent algebra T

We recall the *subconstituent algebra* (or *Terwilliger algebra*) T .
(See [Terwilliger 1992].)

The algebra T is the subalgebra of $\text{Mat}_X(\mathbb{C})$ generated by M, M^* .

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In order to describe \mathcal{T} , we consider how M, M^* are related.

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In order to describe T , we consider how M, M^* are related.

We will use the following notation.

For any two subspaces \mathcal{R}, \mathcal{S} of $\text{Mat}_X(\mathbb{C})$ we define

$$\mathcal{R}\mathcal{S} = \text{Span}\{RS \mid R \in \mathcal{R}, S \in \mathcal{S}\}.$$

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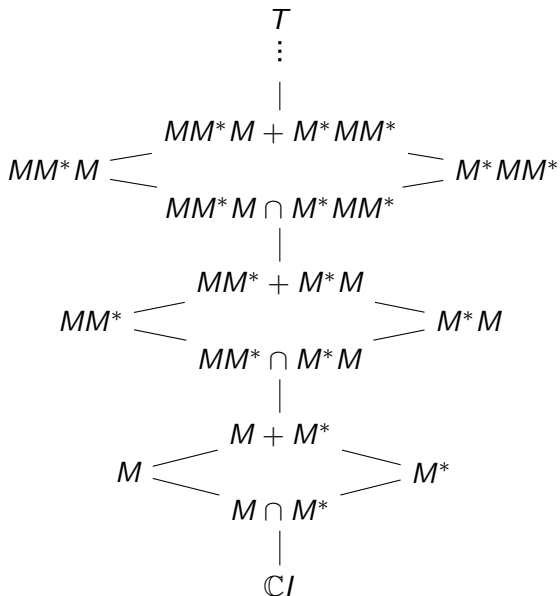
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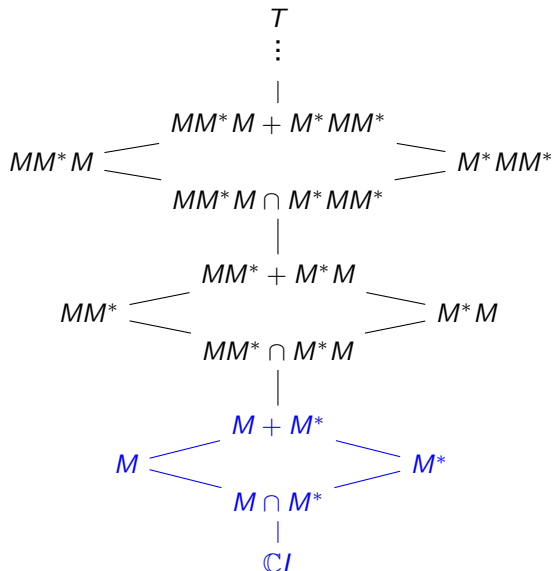
For any two subspaces \mathcal{R}, \mathcal{S} of $\text{Mat}_X(\mathbb{C})$ we define

$$\mathcal{RS} = \text{Span}\{RS \mid R \in \mathcal{R}, S \in \mathcal{S}\}.$$

Consider the subspaces $M, M^*, MM^*, M^*M, MM^*M, M^*MM^*, \dots$ along
with their intersections and sums; see diagram.

We describe the diagram up to $MM^* + M^*M$.



The subspace $M + M^*$ 

For $0 \leq i, j \leq D$, we have

$$\langle A_i, A_j \rangle = \delta_{ij} k_i |\mathcal{X}|,$$

$$\langle A_i^*, A_j^* \rangle = \delta_{ij} m_i |\mathcal{X}|,$$

$$\langle E_i, E_j \rangle = \delta_{ij} m_i,$$

$$\langle E_i^*, E_j^* \rangle = \delta_{ij} k_i,$$

where m_i is the rank of E_i .

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$$\begin{aligned} \langle A_i, A_j \rangle &= \delta_{ij} k_i |X|, & \langle E_i, E_j \rangle &= \delta_{ij} m_i, \\ \langle A_i^*, A_j^* \rangle &= \delta_{ij} m_i |X|, & \langle E_i^*, E_j^* \rangle &= \delta_{ij} k_i, \end{aligned}$$

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Lemma 1.

Each of the following is an orthogonal basis for M :

$$\{A_i\}_{i=0}^D, \quad \{E_i\}_{i=0}^D.$$

Moreover, each of the following is an orthogonal basis for M^ :*

$$\{A_i^*\}_{i=0}^D, \quad \{E_i^*\}_{i=0}^D.$$

Lemma 2.

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Lemma 3.

The following is an orthogonal basis for $M + M^*$:

$$A_D, \dots, A_1, I, A_1^*, \dots, A_D^*.$$

So $\dim(M + M^*) = 2D + 1$.

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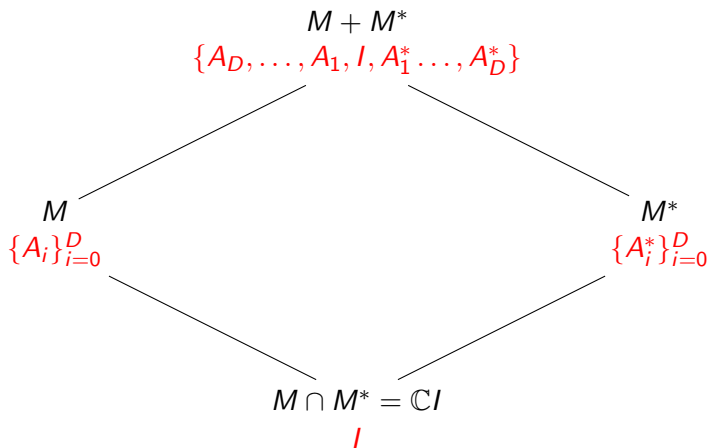
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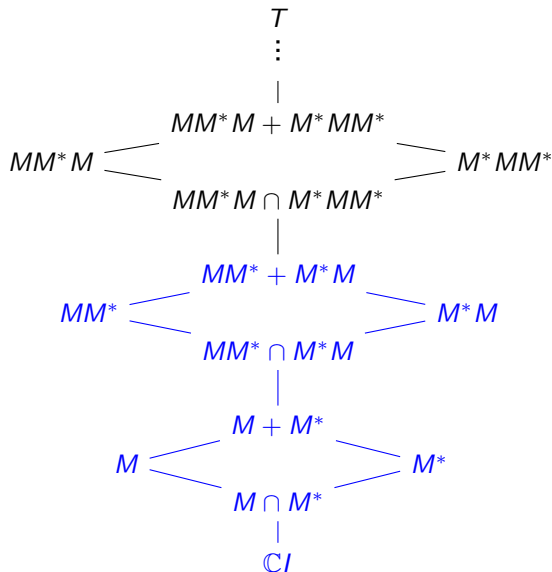
Lemma 4.

We have $M \cap M^* = \mathbb{C}I$ and $\dim(M \cap M^*) = 1$.



For each edge $U \subseteq W$ shown in the diagram, we desire to find an orthogonal basis for the orthogonal complement of U in W along with the dimension of this orthogonal complement.

$$(U^\perp = \{w \in W \mid \langle w, u \rangle = 0 \text{ for all } u \in U\})$$

The subspace $MM^* + M^*M$ 

For $0 \leq i \leq D$ let θ_i denote an eigenvalue of $A = A_1$ associated with E_i . Let λ be an indeterminate. Define polynomials $\{u_i\}_{i=0}^D$ in $\mathbb{C}[\lambda]$ by $u_0 = 1$, $u_1 = \lambda/k$, and $\lambda u_i = p_{1,i-1}^i u_{i-1} + p_{1,i}^i u_i + p_{1,i+1}^i u_{i+1}$ ($1 \leq i \leq D-1$).

$$A_j = k_j \sum_{i=0}^D u_j(\theta_i) E_i, \quad E_j = |X|^{-1} m_j \sum_{i=0}^D u_i(\theta_j) A_i \quad (0 \leq j \leq D).$$

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Lemma 5.

For $0 \leq i, j, r, s \leq D$,

- (i) $\langle A_i A_j^*, A_r^* A_s \rangle = \delta_{is} \delta_{jr} |X| k_i m_j u_i(\theta_j)$,
- (ii) $\langle A_i A_j^*, A_r A_s^* \rangle = \delta_{ir} \delta_{js} |X| k_i m_j$.

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Lemma 6.

- (i) The matrices $\{A_i A_j^* | 0 \leq i, j \leq D\}$ form an orthogonal basis for MM^* .
- (ii) The matrices $\{A_i^* A_j | 0 \leq i, j \leq D\}$ form an orthogonal basis for M^*M .
- (iii) $\dim(MM^*) = (D+1)^2$, $\dim(M^*M) = (D+1)^2$.

Lemma 7.

$$MM^* + M^*M = \sum_{i=0}^D \sum_{j=0}^D \text{Span}(A_i A_j^*, A_j^* A_i) \quad (\text{orthogonal direct sum}).$$

$$\text{Thus } \dim(MM^* + M^*M) = \sum_{i=0}^D \sum_{j=0}^D \dim(\text{Span}(A_i A_j^*, A_j^* A_i)).$$

Definition 8.

For $0 \leq i, j \leq D$ let $H_{i,j}$ denote the 2×2 matrix of inner products for $A_i A_j^*$, $A_j^* A_i$.

Lemma 9.

For $0 \leq i, j \leq D$,

$$H_{i,j} = |X| k_i m_j \begin{pmatrix} 1 & u_i(\theta_j) \\ u_i(\theta_j) & 1 \end{pmatrix}.$$

So $\det(H_{i,j}) = |X|^2 k_i^2 m_j^2 (1 - (u_i(\theta_j))^2)$ and $\det(H_{i,j}) = 0$ if and only if $u_i(\theta_j) = \pm 1$.

Lemma 10.

The following elements are orthogonal:

$$A_i A_j^* + A_j^* A_i, \quad A_i A_j^* - A_j^* A_i.$$

Moreover

$$\|A_i A_j^* + A_j^* A_i\|^2 = 2|X|k_i m_j (1 + u_i(\theta_j)),$$

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Lemma 11.

The following (i)–(iii) hold for $0 \leq i, j \leq D$:

- (i) Assume $u_i(\theta_j) = 1$. Then $A_i A_j^* = A_j^* A_i$ and this common value is nonzero.
- (ii) Assume $u_i(\theta_j) = -1$. Then $A_i A_j^* = -A_j^* A_i$ and this common value is nonzero.
- (iii) Assume $u_i(\theta_j) \neq \pm 1$. Then $A_i A_j^*, A_j^* A_i$ are linearly independent.

Lemma 12.

For $0 \leq i, j \leq D$ we give an orthogonal basis for $\text{Span}(A_i A_j^*, A_j^* A_i)$.

<i>case</i>	<i>orthogonal basis</i>	<i>dimension</i>
$u_i(\theta_j) = \pm 1$	$A_i A_j^*$	1
$u_i(\theta_j) \neq \pm 1$	$A_i A_j^* + A_j^* A_i, \quad A_i A_j^* - A_j^* A_i$	2

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case	orthogonal basis	dimension
$u_i(\theta_j) = \pm 1$	$A_i A_j^*$	1
$u_i(\theta_j) \neq \pm 1$	$A_i A_j^* + A_j^* A_i, \quad A_i A_j^* - A_j^* A_i$	2

Corollary 13.

The following is an orthogonal basis for $MM^* + M^*M$:

$$\{A_i A_j^* + A_j^* A_i, A_i A_j^* - A_j^* A_i \mid 0 \leq i, j \leq D, u_i(\theta_j) \neq \pm 1\}$$

$$\cup \{A_i A_j^* \mid 0 \leq i, j \leq D, u_i(\theta_j) = \pm 1\}.$$

Definition 14.

Define an integer P as follows:

$$P = |\{(i, j) | 1 \leq i, j \leq D, u_i(\theta_j) = \pm 1\}|.$$

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Lemma 15.

- (i) $\dim(MM^* + M^*M) = 2D^2 + 2D + 1 - P.$
- (ii) $\dim(MM^* \cap M^*M) = 2D + 1 + P.$

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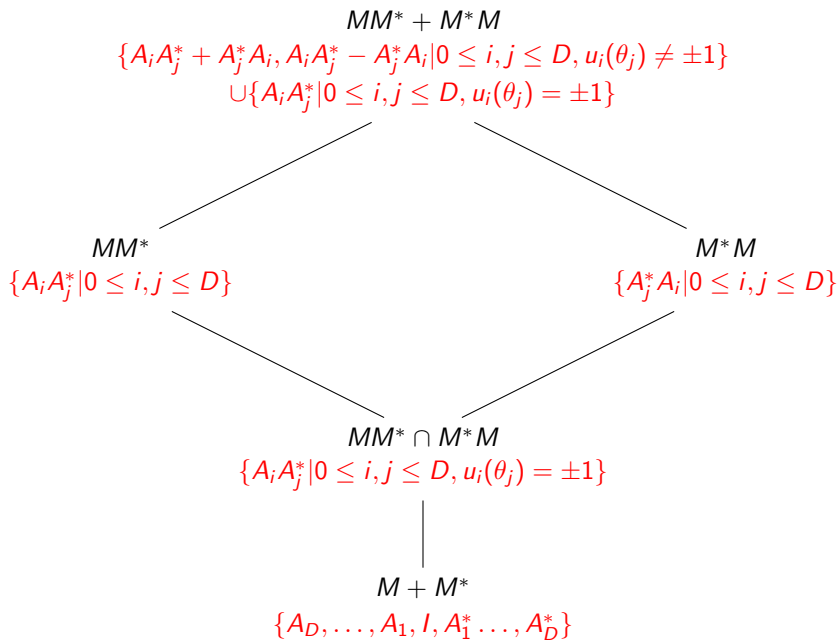
Lemma 15.

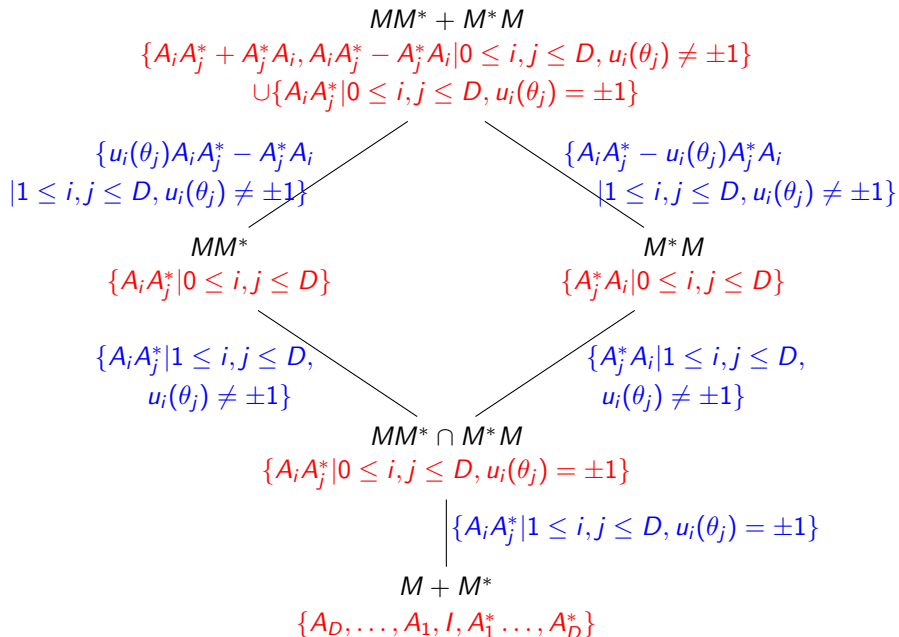
- (i) $\dim(MM^* + M^*M) = 2D^2 + 2D + 1 - P.$
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Lemma 16.

The following is an orthogonal basis for $MM^ \cap M^*M$:*

$$\{A_i A_j^* | 0 \leq i, j \leq D, u_i(\theta_j) = \pm 1\}.$$





Summary of main results

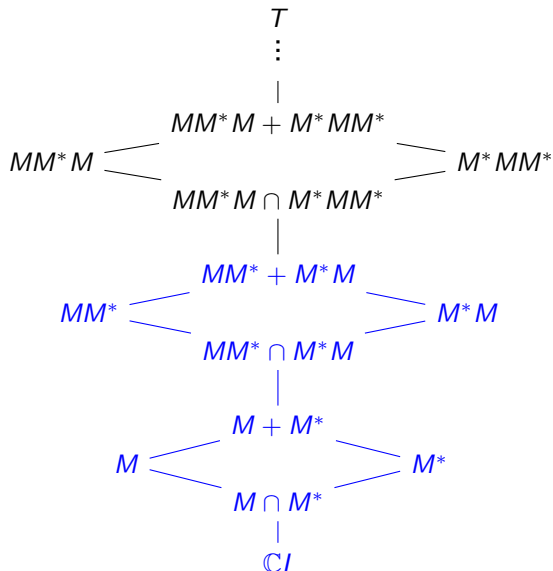
In each row of the table below we describe a subspace U in the diagram. We give an orthogonal basis for U along with the dimension of U .

subspace U	orthogonal basis for U	dimension of U
$M \cap M^*$	I	1
M	$\{A_i\}_{i=0}^D$	$D + 1$
M^*	$\{A_i^*\}_{i=0}^D$	$D + 1$
$M + M^*$	$\{A_D, \dots, A_1, I, A_1^*, \dots, A_D^*\}$	$2D + 1$
$MM^* \cap M^*M$	$\{A_i A_j^* \mid 0 \leq i, j \leq D, u_i(\theta_j) = \pm 1\}$	$2D + 1 + P$
MM^*	$\{A_i A_j^* \mid 0 \leq i, j \leq D\}$	$(D + 1)^2$
M^*M	$\{A_j^* A_i \mid 0 \leq i, j \leq D\}$	$(D + 1)^2$
$MM^* + M^*M$	$\{A_i A_j^* + A_j^* A_i, A_i A_j^* - A_j^* A_i \mid 0 \leq i, j \leq D, u_i(\theta_j) \neq \pm 1\}$ $\cup \{A_i A_j^* \mid 0 \leq i, j \leq D, u_i(\theta_j) = \pm 1\}$	$2D^2 + 2D + 1 - P$

We give an orthogonal basis for the orthogonal complement of U in W along with the dimension of this orthogonal complement.

U	W	orthogonal basis for $U^\perp \cap W$	dimension
$M \cap M^*$	M	$\{A_i\}_{i=1}^D$	D
$M \cap M^*$	M^*	$\{A_i^*\}_{i=1}^D$	D
M	$M + M^*$	$\{A_i^*\}_{i=1}^D$	D
M^*	$M + M^*$	$\{A_i\}_{i=1}^D$	D
$M + M^*$	$MM^* \cap M^*M$	$\{A_i A_j^* 1 \leq i, j \leq D, u_i(\theta_j) = \pm 1\}$	P
$MM^* \cap M^*M$	MM^*	$\{A_i A_j^* 1 \leq i, j \leq D, u_i(\theta_j) \neq \pm 1\}$	$D^2 - P$
$MM^* \cap M^*M$	M^*M	$\{A_j^* A_i 1 \leq i, j \leq D, u_i(\theta_j) \neq \pm 1\}$	$D^2 - P$
MM^*	$MM^* + M^*M$	$\{u_i(\theta_j)A_i A_j^* - A_j^* A_i 1 \leq i, j \leq D, u_i(\theta_j) \neq \pm 1\}$	$D^2 - P$
M^*M	$MM^* + M^*M$	$\{A_i A_j^* - u_i(\theta_j)A_j^* A_i 1 \leq i, j \leq D, u_i(\theta_j) \neq \pm 1\}$	$D^2 - P$

Open problems



Lemma. (Terwilliger, 1992)

For $0 \leq h, i, j, r, s, t \leq D$,

$$\textcircled{i} \quad \langle E_i^* A_j E_h^*, E_r^* A_s E_t^* \rangle = \delta_{ir} \delta_{js} \delta_{ht} k_h p_{ij}^h,$$

$$\textcircled{ii} \quad \langle E_i A_j^* E_h, E_r A_s^* E_t \rangle = \delta_{ir} \delta_{js} \delta_{ht} m_h q_{ij}^h.$$

Corollary.

For $0 \leq h, i, j \leq D$,

$$\textcircled{i} \quad E_i^* A_h E_j^* = 0 \text{ if and only if } p_{ij}^h = 0,$$

$$\textcircled{ii} \quad E_i A_h^* E_j = 0 \text{ if and only if } q_{ij}^h = 0.$$

The subspace $M^* M M^*$ has an orthogonal basis

$$\{E_i^* A_j E_h^* \mid 0 \leq h, i, j \leq D, p_{ij}^h \neq 0\}.$$

Similarly, the subspace $M M^* M$ has an orthogonal basis

$$\{E_i A_j^* E_h \mid 0 \leq h, i, j \leq D, q_{ij}^h \neq 0\}.$$

Problem 17.

Find an orthogonal basis for the following subspaces:

(i) $MM^*M \cap M^*MM^*$,

(ii) $MM^*M + M^*MM^*$.

Problem 18.

In each row of the table below we give an edge $U \subseteq W$ from the diagram. Find an orthogonal basis for the orthogonal complement of U in W .

U	W
$MM^* + M^*M$	$MM^*M \cap M^*MM^*$
$MM^*M \cap M^*MM^*$	MM^*M
$MM^*M \cap M^*MM^*$	M^*MM^*
MM^*M	$MM^*M + M^*MM^*$
M^*MM^*	$MM^*M + M^*MM^*$

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Thank you for your attention.