

# Maximizing the order of regular bipartite graphs for given valency and second eigenvalue

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## Known results

$v(k, \lambda)$ : the maximum possible order of a connected  $k$ -regular graph  $G$  with  $\lambda_2 \leq \lambda$  ( $\lambda_2$ : second eigenvalue)

Theorem 1 (Cioabă, Koolen, N. and Vermette (2016))

*Let  $\lambda$  be the second-largest eigenvalue of matrix  $T(t, k, c)$ . Then we have*

$$v(k, \lambda) \leq 1 + \sum_{i=0}^{t-3} k(k-1)^i + \frac{k(k-1)^{t-2}}{c}.$$

Equality holds  $\Leftrightarrow$  Distance-regular graph with  $g \geq 2d$ .  
( $g$ : girth,  $d+1$ : # of eigen.)

For  $d \geq 7$ , there does not exist a distance-regular graph with  $g \geq 2d$  (Damerell–Georgiadcodis (1981), Bannai–Ito (1981))

## Second-largest eigenvalue of regular graph

- $G = (V, E)$ : a simple  $k$ -regular graph.
- $\mathbf{A}$ : the adjacency matrix of  $G$ .

$$\mathbf{A}(u, v) = \begin{cases} 1 & \text{if } \{u, v\} \in E, \\ 0 & \text{otherwise.} \end{cases}$$

- $\lambda_1 = k > \lambda_2 > \dots > \lambda_r$ : the distinct eigenvalues of  $\mathbf{A}$ .

### Theorem 2 (Alon–Boppana, Serre)

*For given  $k$  and  $\lambda$  with  $\lambda < 2\sqrt{k-1}$ , there exist finitely many  $k$ -regular graphs with  $\lambda_2 \leq \lambda$ .*

# Spectral gap

- Spectral gap  $\tau(G) = k - \lambda_2$ .
- For  $\emptyset \neq S \subset V$ ,

$$\partial S = \{\{u, v\} \in E \mid u \in S, v \in V \setminus S\}.$$

- Edge expansion ratio:

$$h(G) = \min_{S \subset V, 1 \leq |S| \leq |V|/2} \frac{|\partial S|}{|S|}.$$

Theorem 3 (Cheeger inequalities, Alon and Milman (1985))

$$\tau(G)/2 \leq h(G) \leq \sqrt{2k\tau(G)}.$$

Small  $\lambda_2$  ( $k$ : fixed)  $\longrightarrow$  Large  $\tau(G)$ ,  $h(G) \longrightarrow$  High connectivity

# Problem

- $v(k, \lambda)$ : the maximum possible order of a connected bipartite  $k$ -regular graph  $G$  with  $\lambda_2 \leq \lambda$ .

## Problem 4

Determine  $v(k, \lambda)$ , and classify the graphs meeting  $v(k, \lambda)$ .

# Polynomials for regular bipartite graphs

$$\mathcal{F}_0(x) = 1, \quad \mathcal{F}_1(x) = x - k, \quad \mathcal{F}_2(x) = x^2 - (3k - 2)x + k(k - 1)$$

$$\mathcal{F}_i(x) = (x - 2k + 2)\mathcal{F}_{i-1}(x) - (k - 1)^2\mathcal{F}_{i-2}(x) \quad (i \geq 3)$$

Let  $B$  be the biadjacency matrix of a  $k$ -regular bipartite graph.

$$A = \begin{pmatrix} O & B \\ B^\top & O \end{pmatrix}, \quad A^2 = \begin{pmatrix} BB^\top & O \\ O & B^\top B \end{pmatrix}, \quad A^{2i} = \begin{pmatrix} (BB^\top)^i & O \\ O & (B^\top B)^i \end{pmatrix}$$

Each entry of  $\mathcal{F}_i(BB^\top)$  is non-negative.

# Linear programming bound for regular bipartite graphs

## Theorem 5 (Cioabă, Koolen, and N.)

Let  $G = (V, E)$  be a connected  $k$ -regular bipartite graph. Suppose there exists a polynomial  $f(x) = \sum_{i=0}^s c_i \mathcal{F}_i(x)$  s.t.

- $f(k^2) > 0$ ,  $f(\lambda^2) \leq 0$  for each eigenvalue  $\lambda \neq k, -k$  of  $G$ ,
- $c_0 > 0$ , and  $c_i \geq 0$  for each  $i = 1, \dots, s$ .

Then

$$|V| \leq \frac{2f(k^2)}{c_0}.$$

# New bounds for regular bipartite graphs

$$T = T(k, t, c) = \begin{pmatrix} 0 & k & & & & \\ 1 & 0 & k-1 & & & \\ & \ddots & \ddots & \ddots & & \\ & & 1 & 0 & k-1 & \\ & & & c & 0 & k-c \\ & & & & k & 0 \end{pmatrix}$$

:  $t \times t$  tridiagonal matrix for  $1 \leq c \leq k$ .

## Theorem 6 (Cioabă, Koolen, and N.)

Let  $\lambda$  be the second-largest eigenvalue of  $T$ . Then we have

$$v(k, \lambda) \leq 2 \left( \sum_{i=0}^{t-4} (k-1)^i + \frac{(k-1)^{t-3}}{c} + \frac{(k-1)^{t-2}}{c} \right).$$

*This equality holds if and only if the graph is a bipartite distance-regular graph with the intersection matrix  $T(k, t, c)$ .*



# Examples attaining the bound

Equality holds  $\Leftrightarrow g \geq 2d - 2$

where  $g$ : girth,  $d + 1$ : # of distinct eigenvalues.

$k$	$\lambda$	$v(k, \lambda)$	Name
2	$2 \cos(2\pi/n)$	$n$ (even)	$n$ -cycle $C_n$
$k$	0	$2k$	Complete bipartite graph $K_{k,k}$
$k$	$\sqrt{k - \lambda}$	$2(1 + \frac{k(k-1)}{\lambda})$	Symmetric $(v, k, \lambda)$ -design
$r^2 - r + 1$	$r$	$2(r^2 + 1) \times (r^2 - r + 1)$	$pg(r^2 - r + 1, r^2 - r + 1, (r - 1)^2)$
$q$	$\sqrt{q}$	$2q^2$	$AG(2, q)$ minus a parallel class
$q + 1$	$\sqrt{2q}$	$2 \sum_{i=0}^3 q^i$	$GQ(q, q)$
$q + 1$	$\sqrt{3q}$	$2 \sum_{i=0}^5 q^i$	$GH(q, q)$
6	2	162	$pg(6, 6, 2)$

( $q$ : prime power,  $r$ : power of 2)

# Non-existence of bip. DRG with $g \geq 2d - 2$ for large $d$

## Theorem 7 (Cioabă, Koolen, and N.)

*Suppose  $k \geq 3$ . There does not exist a bipartite distance-regular graph  $\Gamma$  with the intersection matrix  $T(k, d + 1, c)$  for  $d \geq 15$  and  $d = 11$ .*

We use a similar manner given by Fuglister (1987) and Bannai–Ito (1981).

- $m_\theta$  is the multiplicity of an eigenvalue  $\theta$

$$m_\theta = \frac{|V|k(k-1)(\phi-4)((c-1)(k-1)\phi + (k-c)^2)}{2((k-1)\phi - k^2)[(d-1)(c-1)(k-1)\phi + d(k-c)^2 + 2(c-1)(k-c)]},$$

where  $(k-1)\phi = \theta^2$ .

- Factorization of the characteristic polynomial mod prime  $p$ .

Thank you.

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