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# Regular unimodular triangulations of dilated empty simplices and Gröbner basis

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This talk is based on the joint work with **Takayuki Hibi** and **Koutarou Yoshida**.

## Lattice polytopes and $h^*$ -vectors

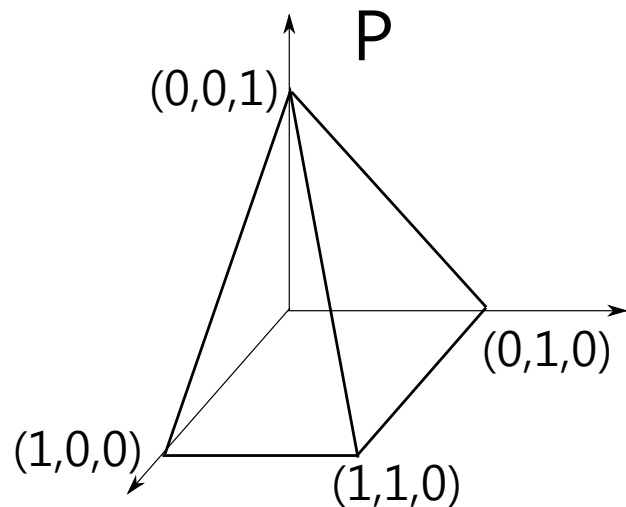
$P \subset \mathbb{R}^d$  : lattice polytope  $\iff$  a convex polytope each of whose vertices belongs to  $\mathbb{Z}^d$

Consider the **Ehrhart series**:

$$\sum_{n \geq 0} |nP \cap \mathbb{Z}^d| t^n = \frac{h_P^*(t)}{(1-t)^{d+1}},$$

where  $h_P^*(t)$  is a polynomial in  $t$  of degree at most  $d$ . We call  $h_P^*(t)$  the  **$h^*$ -polynomial** of  $P$ .

Ex



$$\sum_{n \geq 0} |nP \cap \mathbb{Z}^3| t^n = \frac{1+t}{(1-t)^4}$$

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**Empty simplices**  $P \subset \mathbb{R}^d$  : lattice polytope with  $h_P^*(t) = 1 + h_1^*t + \dots$

$P \subset \mathbb{R}^d$  : **empty simplex**  $\iff$  a lattice simplex with  $P \cap \mathbb{Z}^d = \{\text{vertices of } P\}$

$$\iff h_1^* = 0$$

**Remark**

- 2-dim empty simplex  $\iff \text{conv}((0, 0), (1, 0), (0, 1))$
- 3-dim empty simplex  $\iff \text{conv}((0, 0, 0), (1, 0, 0), (0, 0, 1), (p, q, 1))$ , where  $p$  and  $q$  are coprime (**White** (1964)).
- 4-dim empty simplex : **Francisco Santos' talk!** (very recent result)
- $\geq 5$ -dim empty simplex : **OPEN** (I guess)

For a **3-dim** lattice polytope  $P$ , we know that

$$P : \text{empty simplex} \iff h_P^*(t) = 1 + (m - 1)t^2 \quad (m \in \mathbb{Z}_{\geq 1}).$$

On the other hand,

### Theorem (Batyrev–Hofscheier, 2010)

$$d = 2k - 1 \quad (k \in \mathbb{Z}_{\geq 2})$$

$$P \subset \mathbb{R}^d \text{ is an empty simplex of dim } d \text{ with } h_P^*(t) = 1 + (m - 1)t^k \quad (m \in \mathbb{Z}_{\geq 2})$$

$$\iff P \cong \text{conv} \left( \mathbf{0}, \mathbf{e}_1, \dots, \mathbf{e}_{d-1}, \sum_{i=1}^{k-1} a_i \mathbf{e}_i + \sum_{j=k}^{d-1} (m - a_{d-j}) \mathbf{e}_j + m \mathbf{e}_d \right),$$

$$\text{where } a_1, \dots, a_{k-1} \in \mathbb{Z}_{>0} \text{ with } 1 \leq a_i \leq m/2 \text{ and } \gcd(a_i, m) = 1 \quad (\forall i)$$

**Ex**  $d = 3$ :  $\text{conv}((0, 0, 0), (1, 0, 0), (0, 1, 0), (a_1, m - a_1, m))$

$d = 5$ :  $\text{conv}(\mathbf{0}, (1, 0, 0, 0, 0), \dots, (0, 0, 0, 1, 0), (a_1, a_2, m - a_2, m - a_1, m))$

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## IDP and Unimodular Triangulation

$P \subset \mathbb{R}^d$  : a lattice polytope

- We say that  $P$  has **integer decomposition property (IDP)** if for any  $n \in \mathbb{Z}_{>0}$  and  $\gamma \in nP \cap \mathbb{Z}^d$ , there exist  $\gamma^{(1)}, \dots, \gamma^{(n)} \in P \cap \mathbb{Z}^d$  such that  $\gamma = \gamma^{(1)} + \dots + \gamma^{(n)}$ .
- We say that a **triangulation (covering)**  $\Delta$  of  $P$  is **unimodular** if  $h_\sigma^*(t) = 1$  for  $\forall \sigma \in \Delta$ .

**regular unimodular tri.  $\Rightarrow$  unimodular tri.  $\Rightarrow$  unimodular covering  $\Rightarrow$  IDP**

(NOTE: **Regular triangulation** means the triangulation is obtain by “pulling”.)

# Motivation

## OUR GOAL

We want to know the existence of unimodular triangulation of **dilations** of

$$\text{conv} \left( \mathbf{0}, \mathbf{e}_1, \dots, \mathbf{e}_{d-1}, \sum_{i=1}^{k-1} a_i \mathbf{e}_i + \sum_{j=k}^{d-1} (m - a_{d-j}) \mathbf{e}_j + m \mathbf{e}_d \right).$$

For a lattice polytope  $P \subset \mathbb{R}^d$  of dim  $d$ , the following facts are known:

- $nP$  has IDP for any  $n \geq d - 1$  (Bruns–Gubeladze–Trung (1997)).
- There exists a constant  $n_0$  such that  $nP$  has a unimodular covering for any  $n \geq n_0$  (BGT (1997)).
- There exists a constant  $c$  such that  $cP$  has a unimodular triangulation.

However, the existence of a constant  $n_0$  satisfying the following is widely open:

“ $nP$  has a unimodular triangulation for any  $n \geq n_0$ ”

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On the other hand,

### Theorem (Santos–Ziegler, 2014)

$P$  : 3-dim lattice polytope

$\implies nP$  has a unimodular triangulation for any  $n \geq 6$ .

The key of the proof of this theorem is **the existence of regular unimodular triangulation for dilated empty 3-simplices**.

—→ For the higher-dimensional version of Santos–Ziegler’s theorem, the existence of (regular) unimodular triangulations of “dilated empty simplices” might be crucial.

—→ How about **Batyrev–Hofscheier’s** empty simplices?

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Given  $k, m \in \mathbb{Z}_{\geq 2}$  and  $a_1, \dots, a_{k-1} \in \mathbb{Z}_{\geq 1}$  with  $\gcd(a_i, m) = 1 \forall i$ , let

$$P(a_1, \dots, a_{k-1}, m) =$$

$$\text{conv} \left( \mathbf{0}, \mathbf{e}_1, \dots, \mathbf{e}_{d-1}, \sum_{i=1}^{k-1} a_i \mathbf{e}_i + \sum_{j=k}^{d-1} (m - a_{d-j}) \mathbf{e}_j + m \mathbf{e}_d \right).$$

## Proposition

Given  $k, m, a_i$ , we have

$$nP(a_1, \dots, a_{k-1}, m) \text{ has IDP} \iff n \geq k$$

**Remark** This result is independent of  $a_i$ .



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## Main Theorem

Given  $k, m, a_i$ , we have

$kP(a_1, \dots, a_{k-1}, m)$  has a **regular unimodular triangulation**  $\iff a_1 = \dots = a_{k-1} = 1$

**Remark** This is a generalization of (a part of) Santos–Ziegler’s lemma.

How can we prove??  $\longrightarrow$  Using **Göbner basis!!**

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# How to apply Gröbner basis? (We need some algebraic setting.)

$K$  : a field

$K[t_1^\pm, \dots, t_d^\pm, s]$  : the Laurent polynomial ring with  $(d + 1)$  variables

For  $\alpha = (\alpha_1, \dots, \alpha_d) \in \mathbb{Z}^d$ ,

we associate the Laurent monomial  $u_\alpha = t_1^{\alpha_1} \cdots t_d^{\alpha_d} \in K[t_1^\pm, \dots, t_d^\pm, s]$ ,

$P \subset \mathbb{R}^d$  : a lattice polytope with  $P \cap \mathbb{Z}^d = \{v_1, \dots, v_m\}$

The **toric ring** of  $P$  is defined by  $K[P] = K[u_\alpha s : \alpha \in P \cap \mathbb{Z}^d]$ .

$S = K[x_1, \dots, x_m]$  : polynomial ring with  $|P \cap \mathbb{Z}^d|$  variables

Consider the surjective homomorphism  $\pi : S \rightarrow K[P]$  by setting  $\pi(x_i) = u_{v_i} s$ .

$I = \ker \pi$  : the **toric ideal** of  $P$

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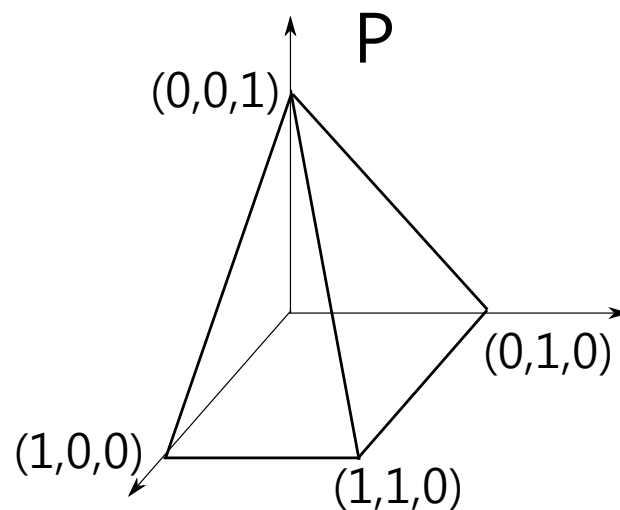
$<$  : a monomial order on  $S = K[x_1, \dots, x_m]$     $\text{in}_<(f)$  : initial monomial of  $f \in S$

$g_1, \dots, g_\ell \in I \subset S$  : a system of generators of  $I$

We say that  $g_1, \dots, g_\ell$  is a **Gröbner basis** of  $I$  with respect to  $<$

$\iff \text{in}_<(I) = (\text{in}_<(g_1), \dots, \text{in}_<(g_\ell))$ , where  $\text{in}_<(I) = (\text{in}_<(f) : f \in I)$ .

Ex



$\pi : K[x_1, \dots, x_5] \rightarrow K[s, t_1s, t_2s, t_1t_2s, t_3s]$     $I = (x_1x_4 - x_2x_3)$  : toric ideal of  $P$

$x_1x_4 - x_2x_3$  is a Gröbner basis of  $I$  (w.r.t. any monomial order)

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We say that a Gröbner basis  $g_1, \dots, g_\ell$  of  $I$  w.r.t.  $<$  is **squarefree** if  $\text{in}_<(g_i)$  is squarefree.

## Theorem (Sturmfels)

$P \subset \mathbb{R}^d$  : lattice polytope     $I_P$  : toric ideal of  $P$

$P$  has a **regular unimodular triangulation**  $\iff I_P$  has a **squarefree Gröbner basis**

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### Strategy of Proof of Main Theorem

$kP(a_1, \dots, a_{k-1}, m)$  has a reg. uni. tri.  $\implies a_1 = \dots = a_{k-1} = 1$

Prove the **non-existence** of  $<$  such that  $I_{kP(a_1, \dots, a_{k-1}, m)}$  has a squarefree Gröbner basis if  $(a_1, \dots, a_{k-1}) \neq (1, \dots, 1)$ .

$a_1 = \dots = a_{k-1} = 1 \implies kP(a_1, \dots, a_{k-1}, m)$  has a reg. uni. tri.

**Construct a monomial order**  $<$  such that  $I_{kP(1, \dots, 1, m)}$  has a squarefree Gröbner basis.

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ありがとうございます。